



THIRD PARTY AND POLITICALLY EXPOSED PERSON INFORMATION FORM

FOR THE INVESTMENT APPLICATION OF

CLIENT NUMBER

PRIMARY OWNER

NAME

ADDRESS

JOINT OWNER

NAME

ADDRESS

THIRD PARTY OWNER DETAILS

NAME OF THE BENEFICIAL OWNER

RESIDENCE STREET ADDRESS

SUITE/ APT.

CITY / TOWN

PROVINCE

POSTAL CODE

DATE OF BIRTH (MMM DD YYYY)

OCCUPATION

EMPLOYER

RELATIONSHIP TO THE BENEFICIAL OWNER(S)

IF APPLICABLE

INCORPORATION NUMBER)

PLACE OF INCORPORATION

NAME OF THE BENEFICIAL OWNER

RESIDENCE STREET ADDRESS

SUITE/ APT.

CITY / TOWN

PROVINCE

POSTAL CODE

DATE OF BIRTH (MMM DD YYYY)

OCCUPATION

EMPLOYER

RELATIONSHIP TO THE BENEFICIAL OWNER(S)

IF APPLICABLE

INCORPORATION NUMBER)

PLACE OF INCORPORATION

POLITICALLY EXPOSED PERSON DETAILS

I/WE, MY SPOUSE, PARENTS, CHILDREN, BROTHERS OR SISTERS OR MY SPOUSE'S MOTHER OR FATHER HAS BEEN; A HEAD OF STATE OR GOVERNMENT, A MEMBER OF THE EXECUTIVE COUNCIL OF GOVERNMENT OR A MEMBER OF A LEGISLATURE; A DEPUTY MINISTER OR EQUIVALENT; AN AMBASSADOR; AN AMBASSADOR'S ATTACHÉ' OR COUNCILOR; OBTAINED THE RANK OF GENERAL OR HIGHER IN THE MILITARY; A PRESIDENT OF A STATE OWNED COMPANY OR BANK; A HEAD OF A GOVERNMENT AGENCY; A JUDGE OR A LEADER OR PRESIDENT OF A POLITICAL PARTY IN A LEGISLATURE. **PLEASE PROVIDE THE SPECIFIC DETAILS FOR ANY APPLICABLE RELATIONSHIPS.**

NAME OF THE INDIVIDUAL

RELATIONSHIP

RELATED TO

PRIMARY OWNER

JOINT OWNER

POSTITION HELD

IN WHICH COUNTRY

NAME OF THE INDIVIDUAL

RELATIONSHIP

RELATED TO

PRIMARY OWNER

JOINT OWNER

POSTITION HELD

IN WHICH COUNTRY